

Utility Patent Application Transmittal	Attorney Docket No.: S-12		
	First Inventor: David C. Hovda		
	Title: Methods and Apparatus for Treating Intervertebral Discs		
	Express Mail Label No.: ER 164700652 US		
APPLICATION ELEMENTS	ADDRESS TO: Mail Stop Patent Application Box 1450 Alexandria, VA 22313-1450		
<div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.</p> <p>3. <input checked="" type="checkbox"/> Specification - Total Pages 58</p> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claims - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawings – Total Sheet 19</p> <p>5. <input type="checkbox"/> Oath or Declaration – Total Pages ____</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) i. <input type="checkbox"/> Deletion of Inventors <p>6. <input checked="" type="checkbox"/> Application Data Sheet</p> </div> <div style="width: 48%;"> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies </div> </div>			
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: center; padding: 2px;">ACCOMPANYING APPLICATION PARTS</th> </tr> <tr> <td style="padding: 2px;"> 9. <input type="checkbox"/> Assignment Papers (cover sheet/documents) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document 12. <input type="checkbox"/> Information Disclosure Statement (PTO-1449) 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard 15. <input type="checkbox"/> Certified Copy of Priority Document 16. <input type="checkbox"/> Request and Certification under 35 USC 122(b)(2)(B)(I) 17. <input type="checkbox"/> Other _____ </td> </tr> </table>		ACCOMPANYING APPLICATION PARTS	9. <input type="checkbox"/> Assignment Papers (cover sheet/documents) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document 12. <input type="checkbox"/> Information Disclosure Statement (PTO-1449) 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard 15. <input type="checkbox"/> Certified Copy of Priority Document 16. <input type="checkbox"/> Request and Certification under 35 USC 122(b)(2)(B)(I) 17. <input type="checkbox"/> Other _____
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<p>18. <input type="checkbox"/> If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.</p> <p style="padding-left: 20px;"> <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part (CIP) </p> <p>of prior application No.: _____</p> <p>Prior application information: Examiner: _____ Group Art Unit: _____</p> <p><small>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5B is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</small></p>			
<p>19. Correspondence Address:</p> <p style="text-align: center; font-weight: bold;">Customer Number 021394</p>			
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>Richard R. Batt Reg. 43,485</p> </div> <div style="width: 45%; text-align: center;"> <p>Date</p> </div> </div>			
CERTIFICATE OF MAILING			
<p>I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated below, Express Mail Label No. ER 164700652 US and is addressed to: Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450</p> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 45%;"> <p>Michelle Nicely</p> </div> <div style="width: 45%; text-align: center;"> <p>Date</p> </div> </div>			

22387 U.S. PTO

10/656597



09/05/03

FEE TRANSMITTAL for FY 2003

Application No.: Unassigned

Filing Date: September 5, 2003

First Named Inventor: David C. Hovda, et al.

Examiner Name: Unassigned

Group Art Unit: Unassigned

☐ Applicant claims small entity status. 37 CFR 1.27

Attorney Docket No.: S-12

TOTAL AMOUNT OF PAYMENT \$1,236

FEE CALCULATION (continued)

METHOD OF PAYMENT

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account No. 50-0359
ArthroCare Corporation

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 750	201 375	Utility filing fee	750
106 330	206 165	Design filing fee	
107 520	207 260	Plant filing fee	
108 750	208 375	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) \$750

2. [X] EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims 47 - 20** =	27 x	18 =	486
Independent 3 - 3** =	0 x	84 =	0
Claims			
Multiple Dependent		0 =	486

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dep. Claim
1204 84	2204 42	**Reissue indp. over orig.
1205 18	2205 9	**Reissue clms over 20

SUBTOTAL (2) \$486

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	Request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner Action	
1805 1,840	1805 1,840*	Requesting publication of SIR after Examiner Action	
1251 110	2251 55	Extension for reply within 1 st month	
1252 400	2252 200	Extension for reply within 2 nd month	
1253 930	2253 465	Extension for reply within 3 rd month	
1254 1,450	2254 725	Extension for reply within 4 th month	
1255 1,960	2255 985	Extension for reply within 5 th month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of IDS	
8021 40	8021 40	Recording assignment per property	
1809 750	2809 375	Filing a submission after final	
1810 750	2810 375	For each additional invention to be examined	
1801 750	2801 375	Request for Continued Examination	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee: _____

SUBTOTAL (3) \$0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Richard Batt
Reg. 43,485
Ph: (408) 736-0224

Date

September 5, 2003